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(S) \$  
**PATENT**

**UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Serial No.: 08/466,894

Filed: June 6, 1995

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

Group Art Unit: 2737

Examiner: FAILE, A.

Attorney Docket: 05634.0276

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

RECEIVED  
JAN 4 2001  
OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/466,894 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced fees for the above referenced application and that the claim for small entity status for the referenced application Serial No. 08/466,894 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3211 for the required payment of \$515.00 to convert the above-referenced application from small entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each applicant's

01/04/2001 SLUANG1 00000014 08466894

01 FC:699

515.00 OP

Adjustment Date:	01/05/2001	08466894	
01/04/2001 SLUANG1	00000014	08466894	
01 FC:699			
Adjustment Date:	06/27/1995	2305W	
06/27/1995	2305W		
Adjustment Date:	01/05/2001	08466894	
12/18/1995	2300D		
Adjustment Date:	01/05/2001	08466894	
12/18/1995	2300D		
Adjustment Date:	01/05/2001	08466894	
08/26/1996	2508F		
01/05/2001	00000017	500206	08466894
01 FC:101			710.00 OP
02 FC:102			240.00 OP
03 FC:103			54.00 OP
04 FC:105			91.00 OP
			39.00 CH

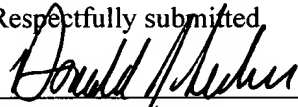
submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$150.00
Response to first action new claim fees:	
Response to first action extension of time:	
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$515.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted  
  
\_\_\_\_\_  
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Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

☐ Accounting Date:  Operator ID:

(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
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04/12/1999	MFLETCH	44	SALE	102	A	3,354.00	08466894	
07/28/1998	MMARMOL	166	SALE	117	A	950.00	08466894	
08/26/1996	250BF	2500701	CRDA	205	A	65.00	08466894	083038
08/12/1996	230BF	2300201	CRDA	201	A	-365.00	08466894	083038
12/18/1995	230DD	10402	CRDA	203	A	33.00	08466894	
12/18/1995	230DD	10401	CRDA	202	A	117.00	08466894	
12/12/1995	110MG	1100801	CRDA	201	A	365.00	08466894	083038
06/27/1995	230SW	25501	CRDA	101	A	365.00	08466894	



Adjustment Detail

Authorization Detail



2:38 PM